

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) – (CRS) DECLARATION FORM

[Mandatory for all investors (Guardian in case of minor) and Joint holder(s)]

To,
 The Compliance Officer
 Sykes & Ray Equities (I) Ltd.,
 2nd Floor, CAMA Building,
 Dalal Street, Fort, Mumbai - 400 001.

APPLICANT'S INFORMATION

Trading A/c No. _____ **Demat A/c No.** _____

Is your Country of Tax Residency other than India – Yes No




If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

Sr. No.	Country of Tax Residency#	Foreign Address	Tax Payer Identification Number / Functional Equivalent	Identification Type <small>[TIN or other, please specify]</small>
1				
2				
3				

to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

DECLARATION & SIGNATURE(S) (To be signed as per mode of holding)

Client agrees to provide the trading member and/or depository participant with any documentation or information requested relating to individual or entity tax status. To the extent required by the trading member and/or depository participant, client hereby consents to the disclosure and reporting of any tax related information obtained or held by the trading member and/or depository participant to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the trading member and/or depository participant, client hereby agrees to obtain a written waiver or consent from the entity's "substantial owners" or "controlling persons" and to provide those consents to the trading member and/or depository participant to permit it to disclose and report tax and account specific financial information to any local or foreign Tax authority. The terms "substantial owners" and "controlling persons" shall have the meaning as defined under local or foreign tax laws, regulatory guidance or inter governmental cooperation agreements. The potential consequences for failure to comply with requests for tax information, failure to respond to requests for waivers or consents for tax information disclosure, and/or failure to respond to requests to obtain waivers or consents from substantial owners or controlling persons, include, but are not limited to: (a) trading member and/or depository participant has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) trading member and/or depository participant has the ability to withhold taxes that may be due from certain payments made to the client's account; (c) trading member and/or depository participant has the right to pay relevant taxes to the appropriate tax authority; (d) trading member and/or depository participant has the right to refuse to provide certain services; and (e) trading member and/or depository participant has the discretion to close client accounts. The client agrees to inform, or respond to any request from, the trading member and/or depository participant, if there are any changes to tax information previously provided.

1st Applicant	2nd Applicant	3rd Applicant
 _____	 _____	 _____

Date:

Place: