



SYKES & RAY EQUITIES™
■ Broking ■ Research ■ PMS ■ Mutual Fund Distribution

Let's Grow Together!™



Online Trading & Demat Account Opening Process – EKYC



Note: Online Account opening facility is available for only 'Single Holder Resident Individual'



Scan copies of the following documents are required to be submitted online

- **PAN Card**
- **Scan copy of Wet Signature to upload in CDSL**
- **Bank Account Proof** (Signed Personalized Cancelled Cheque)

If you want to trade in Derivatives Segment

Financial Supporting Documents (Any one) - Latest Income Tax Return Acknowledgement (ITR), Demat Holding Statement issued within Last 90 Days, Net worth Certificate issued by CA, Bank Statement for Last Six Months from Current Date, Latest Salary Slip or Form 16



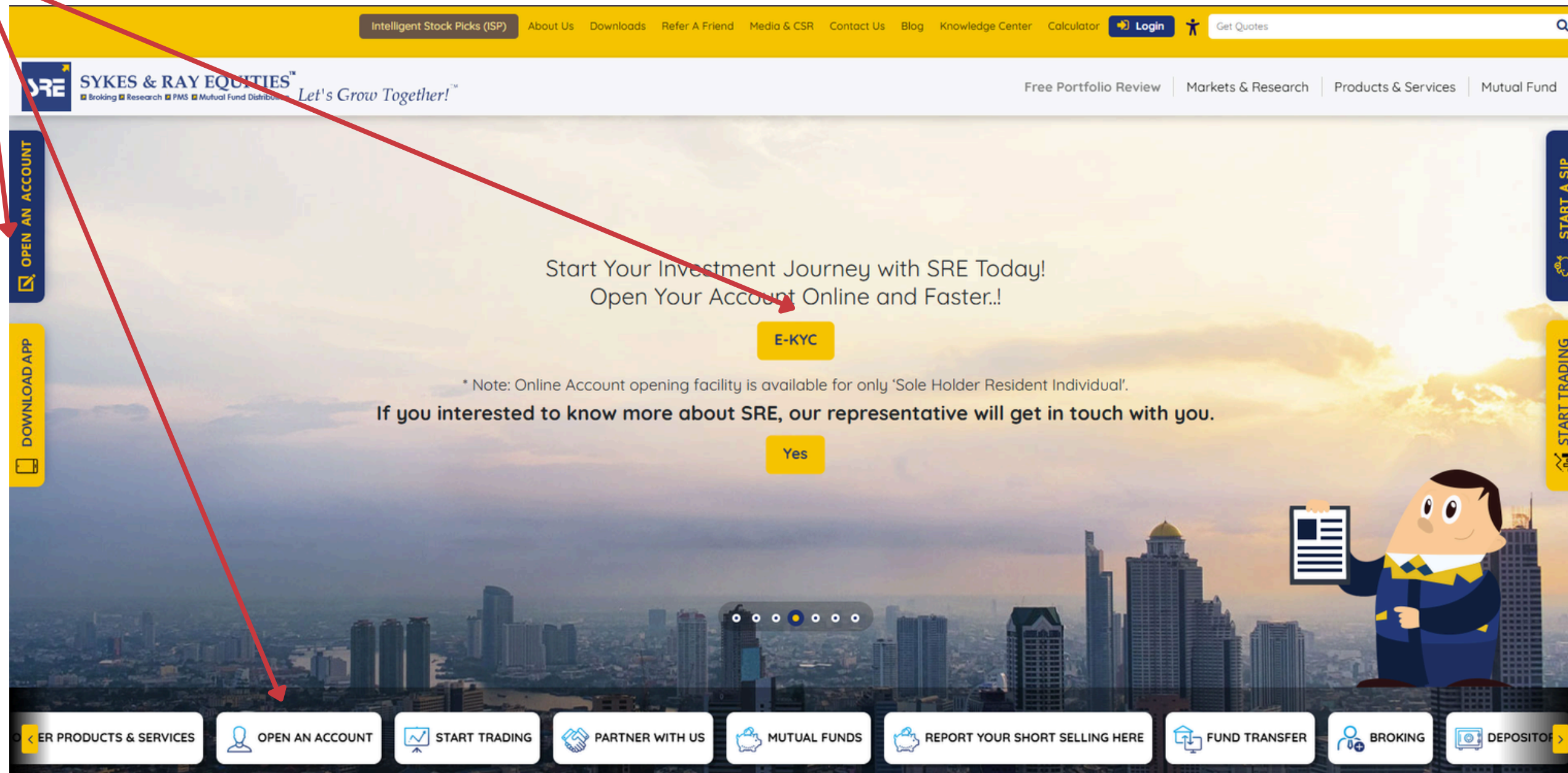
EKYC PROCESS

Client has to visit our website to initiate the EKYC process

- The E-KYC form is auto-filled using data fetched from DigiLocker.
- After completing the E-Form in all respects, it is submitted to the SRE HO Admin for approval.
- The Admin verifies the form against the submitted documents.
- Upon approval, an email containing the E-sign link is sent to the client's registered email ID.
- The E-KYC form can be signed using Aadhaar-based OTP (for clients whose mobile number is linked with Aadhaar).

Visit WWW.SRE.CO.IN

Select open an account



Visit WWW.SRE.CO.IN

Select open an account

Click Here'. At the bottom center is a blue button labeled 'Open An Account'."/>

1 **MOBILE & EMAIL**
Contact Details, OTP verification

2 **PERSONAL DETAILS**
Personal & Address Details

3 **DOCUMENTS & LIVE PHOTO**
PAN, Aadhaar ,Bank proof ,
Signature copy

4 **BROKERAGE & PLAN**
Competitive Pricing and
Brokerage Plans

5 **REGULATORY DETAILS**
Few mandatory questions
as per compliance

* For Digitally Signing the KYC Form ,Your mobile number should be link with Aadhaar

* For Individual Account Only

* For Non-Individual Accounts [Click Here](#)

[Open An Account](#)

[EKYC Link](#)

[Video tutorial](#)



Start Account opening Journey

Enter Mobile Number, Email Id & OTP



Account Opening ?

It is paperless & takes flat 5 minutes !

Mobile*

+91

Email Id*

I Accept [Terms & Condition](#)

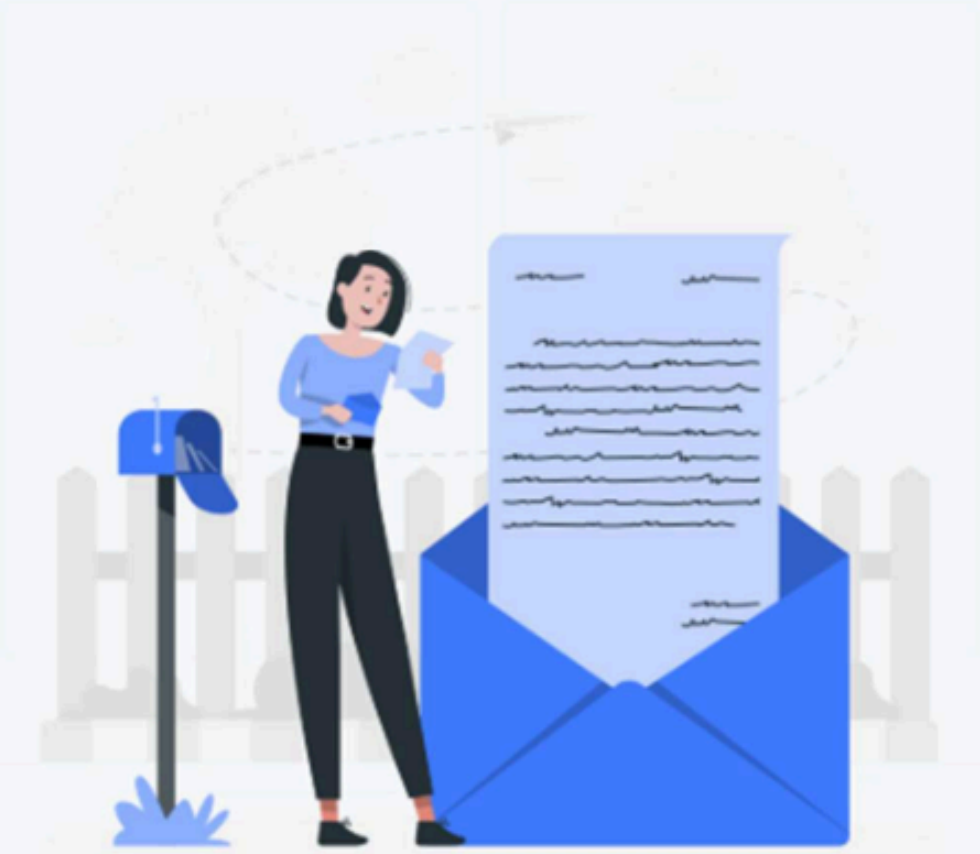
Send OTP

Incomplete Form ? [Resume Here](#)

[DISCLOSURE](#) [DOCUMENTS](#) [FAQ](#) [USER GUIDE](#)

Verify

Enter PAN Number, Name & DOB



Verify PAN Details 1/8

PAN Number

Enter PAN Name(As Per PanCard)

Date of Birth(As Per PanCard)

Submit

[EKYC Link](#)

[Video tutorial](#)



Verify

Enter the details

Choose your Fetch Option

If you choose **DigiLocker**:
Document Wallet to Empower Citizens

Your Aadhaar card must be linked to any mobile number to receive and confirm OTP

Aadhaar Number*

Enter 12 digit Aadhaar

Enter Aadhar No.

Welcome to SRE

You are about to link your DigiLocker account with Welcome to SRE application of SYKES AND RAY EQUITIES INDIA LTD. You will be signed up for DigiLocker account if it does not exist.

Enter Aadhaar Number*

Please enter the following text in the box below:

2YJ UXR

Unable to read the above image? [Try another!](#)

Next

[Return to Welcome to SRE](#)

Enter captcha

Meri Pehchaan
NATIONAL SINGLE SIGN-ON

Welcome to SRE

DigiLocker has sent you an OTP to your registered mobile (xxxxxx0242)

Wait few minutes for the OTP, **do not refresh or close!**

Continue

[Return to Welcome to SRE](#)

Enter OTP

Meri Pehchaan
NATIONAL SINGLE SIGN-ON

You are already registered with DigiLocker.

Please enter your 6 digit DigiLocker Security PIN

6 digit security PIN*

[Forgot security PIN?](#)

Continue

[Return to Welcome to SRE](#)

Enter Digilocker PIN



Personal Details

Enter Father/Spouse Name, Gender & Marital Status

PERSONAL DETAILS 2/8

Prefix Applicant Name*

Prefix Father's/ Spouse Name*

Gender* Male Female Others Marital Status* Single Married

Address*

Pin Code*

NEXT

[EKYC Link](#)

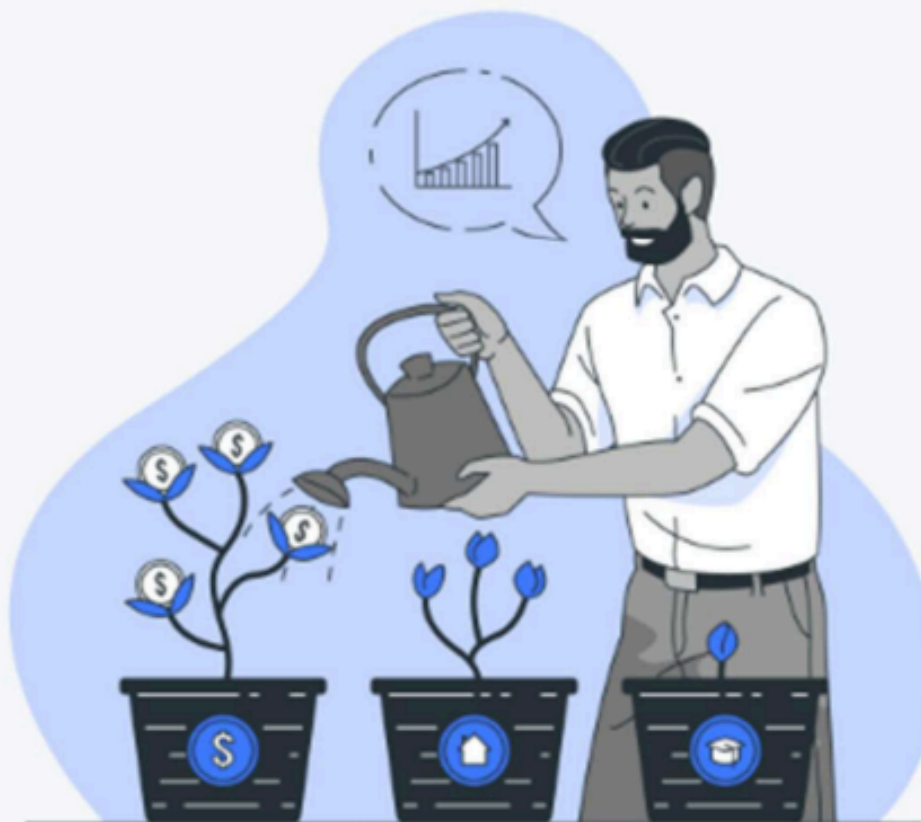
[Video tutorial](#)



Segment and Brokerage

Select the segment you wish to trade in

Select brokerage Scheme



Segment & Brokerage 3/8

Equity <input checked="" type="checkbox"/>	F&O <input type="checkbox"/> ⓘ
Currency <input type="checkbox"/>	Mutual Funds <input checked="" type="checkbox"/>
SLB <input type="checkbox"/>	Only Demat <input type="checkbox"/>


Select Brokerage Scheme*

BSE ,NSE Account will be opened



DP Details / Signature

- Select
- DP Type
- DP Scheme
- upload Wet Signature



DP Details/Signature 4/8

Do you wish to open DEMAT A/c with Sykes and Ray Equities (I) Ltd.

Yes No

DP Type: CDSL DP Scheme: SRENEI

[UPLOAD SIGNATURE PROOF](#)

- CKGPV922IE_sign.jpeg

[NEXT](#)

Note: 1. Demat Account is mandatory for Equity cash & FNO segment Due to Physical settlement.



Regulatory Details

Enter the details & upload document

Demat Debit Pledge Instruction (DDPI).

By selecting the Online DDPI option, you are deemed to have agreed to opt for all four purposes. If you wish to opt for only one or more specific purposes, you may select the same accordingly.



Regulatory Details 6/8

Annual Income*	Education*
5-10 Lac	Graduate
Occupation*	PEP Status*
Government Sector	None
Introducer*	Code
Branch	
Name*	Designation
	CSE
Do You Wish to Opt DDPI Facility*	
Yes	
<input type="checkbox"/> Settlement obligations	
<input type="checkbox"/> Pledging / Re-Pledging of securities	
<input type="checkbox"/> Mutual fund Transactions	
<input type="checkbox"/> Tender offer	
Are you a tax resident of any country other than India? FATCA DETAILS	
<input type="radio"/> Yes <input checked="" type="radio"/> No	
<input type="button" value="Upload Pan Proof"/>	
<input type="button" value="Upload Other Proof"/>	
<input type="checkbox"/> Accept Standard Question	
<input type="checkbox"/> Check Investment Risk Profiler	


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[EKYC Link](#)

[Video tutorial](#)

Live Photo

Capture Live Photo or send link on mail & capture via phone



Live Photo 7/8

↻

Capture

Live Photo can be Captured by 2 ways, one is by clicking on "Capture" from current screen or by

[Send link on mail & click via phone](#)

Keep In Mind

- Good lighting on your face
- Avoid wearing mask, glasses.
- Ensure that your face is clearly visible

Note: The individual is required to be geographically located within India


[EKYC Link](#)

[Video tutorial](#)



Nominee Details

Enter Details & Upload Proof



Nominee Details 8/8

Do you wish to add Nominee
 YES NO

First Name* Last Name*

Mobile Number* EmailID*

Proof Option* Proof Number*

Relationship* Date of birth*

[Upload Nominee Proof](#)

Do you wish to add 2nd Nominee?
 YES NO

Nominee Address
 Same as holder Other Address


[NEXT](#)

[EKYC Link](#) [Video tutorial](#)



Review

Review entered details



Review Details

Personal Details	✍ 👁 View
Correspondence Address	✍ 👁
Segment Details	✍ 👁
Signature proof / Demat Details	✍ 👁 View
Bank Details	✍ 👁 View
Regulatory Details	✍ 👁
Live Photo	✍ 👁 View
Nominee Details	✍ 👁 View
Second Nominee Details	✍ 👁
Third Nominee Details	✍ 👁

I don't have any past SEBI actions & I accept Fates Conditions

SUBMIT

[EKYC Link](#)

[Video tutorial](#)



SYKES & RAY EQUITIES™

Broking Research PMS Mutual Fund Distribution

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Link to Esign  

ekyc@sre.co.in <ekyc@sre.co.in>

to me

**Client will receive this mail
and click this link to Esign a
filled PDF Form .**



Dear Client,

Please [click on link](#) to eSIGN your eKYC form and related documents to complete Account Opening Process. Contact us in case if you have any query.

Warm Regards,

Team eKYC

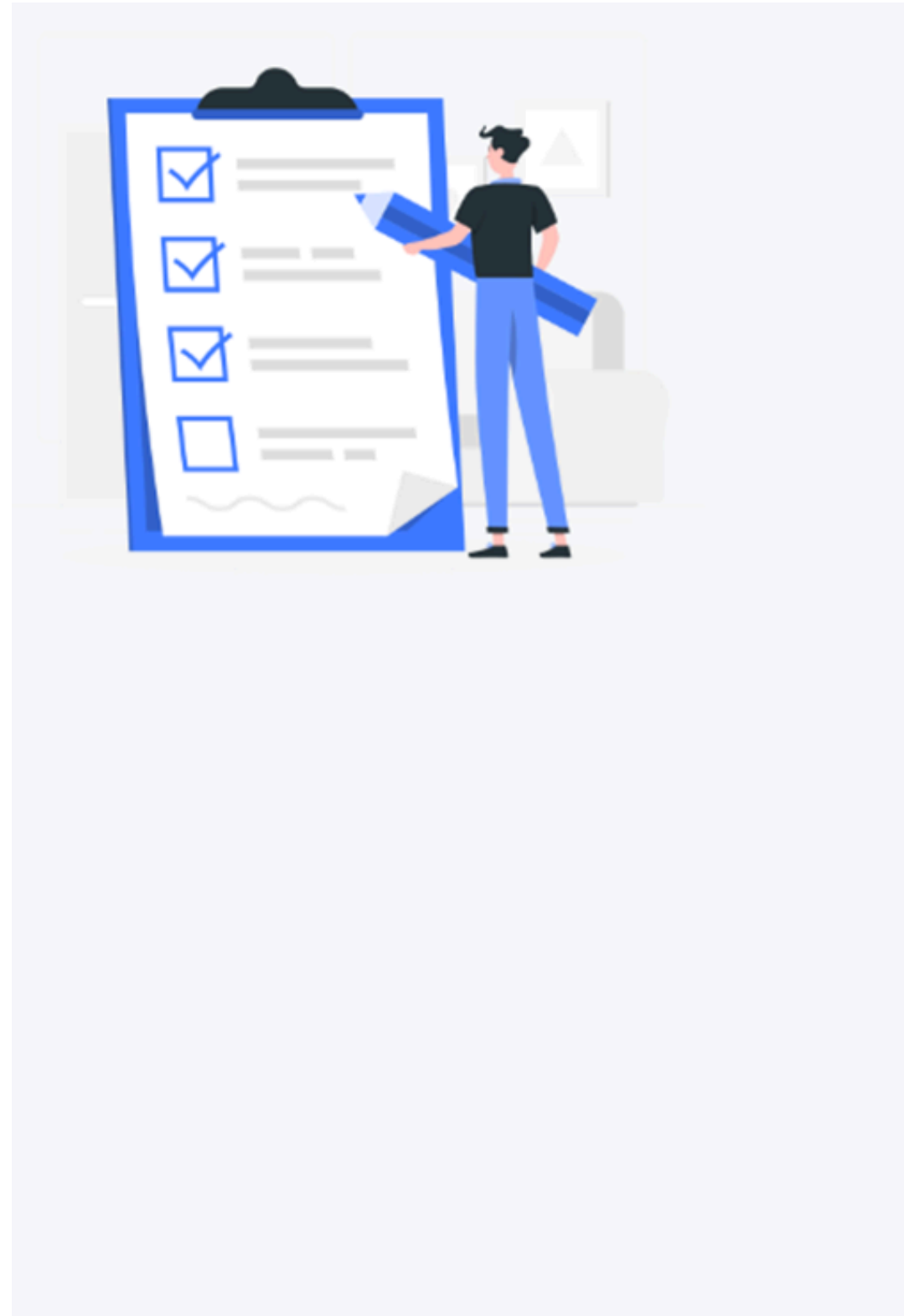
Sykes & Ray Equities

022 61937399/61937300

This is a system generated email, do not reply to this email id.

[EKYC Link](#)

[Video tutorial](#)



Review Details

- Personal Details View
- Correspondence Address View
- Segment Details
- Signature proof / Demat Details View
- Bank Details View
- Regulatory Details
- Live Photo View
- Nominee Details View
- Second Nominee Details
- Third Nominee Details

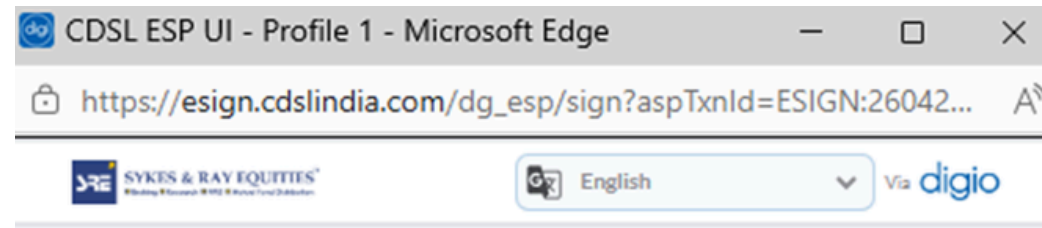
I don't have any past SEBI actions & I accept Fatca Conditions

ESIGN

DOWNLOAD FILE

EKYC Link

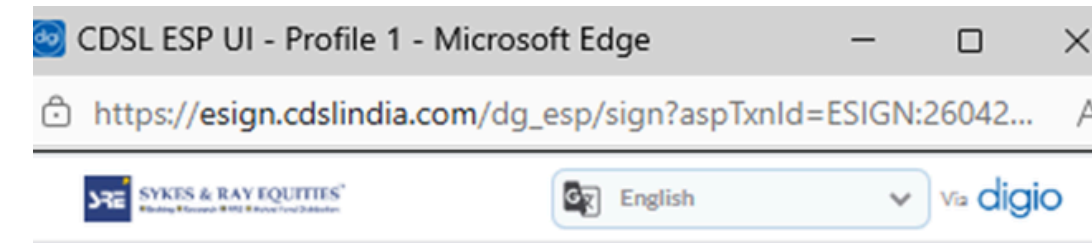
Video tutorial



eSign using Aadhaar number/VID

OTP will be sent to your Aadhaar-linked mobile number

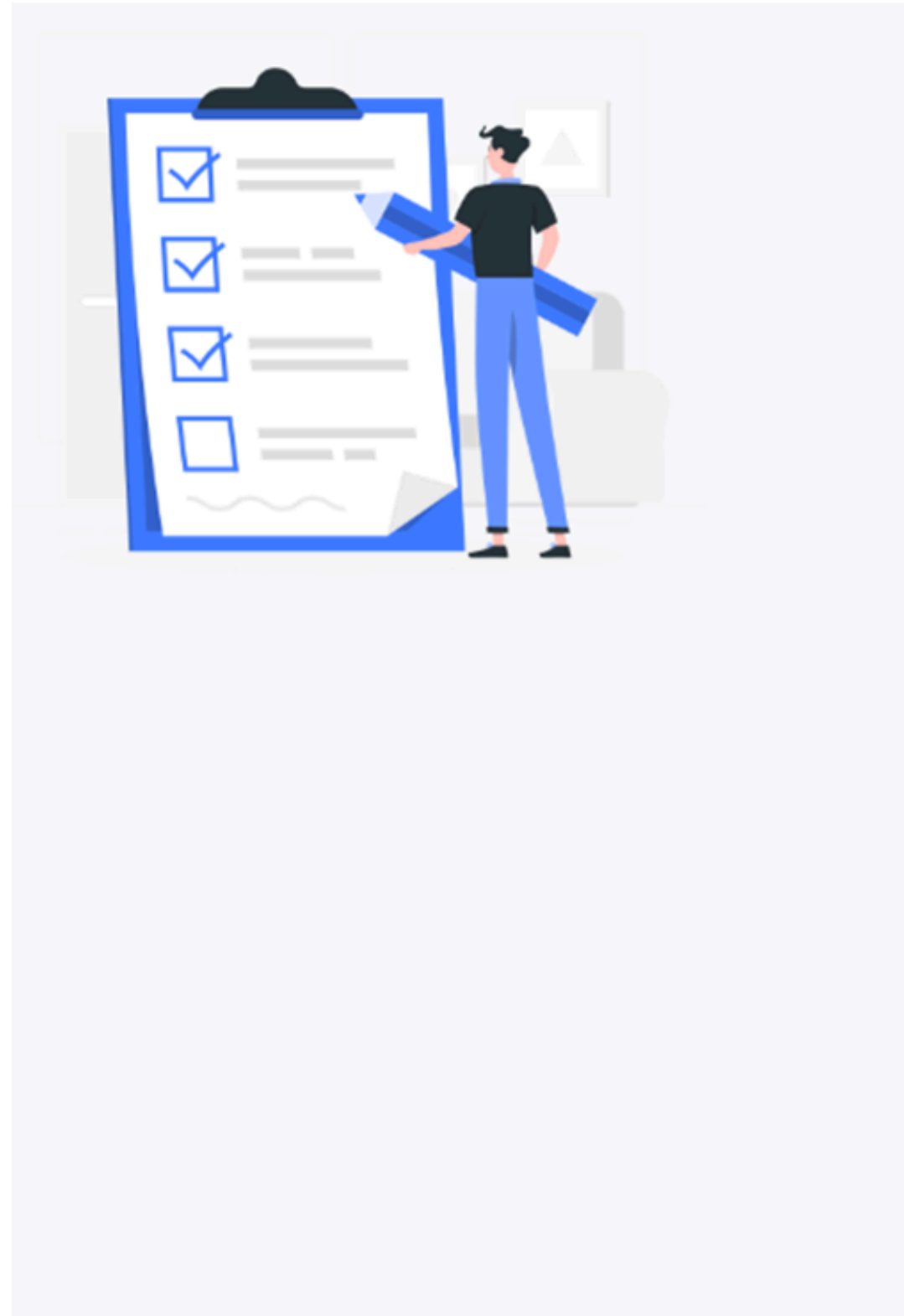
Enter Aadhar No.



Enter the OTP sent to *****7755 and no email linked to Aadhaar

Didn't receive OTP? Resend OTP in 00:01
(It may take up to 60 seconds to receive the OTP)

Enter OTP



Review Details

- Personal Details [View](#)
- Correspondence Address [View](#)
- Segment Details
- Signature proof / Demat Details [View](#)
- Bank Details [View](#)
- Regulatory Details
- Live Photo [View](#)
- Nominee Details [View](#)
- Second Nominee Details
- Third Nominee Details

I don't have any past SEBI actions & I accept Fatca Conditions

KRA ESIGN

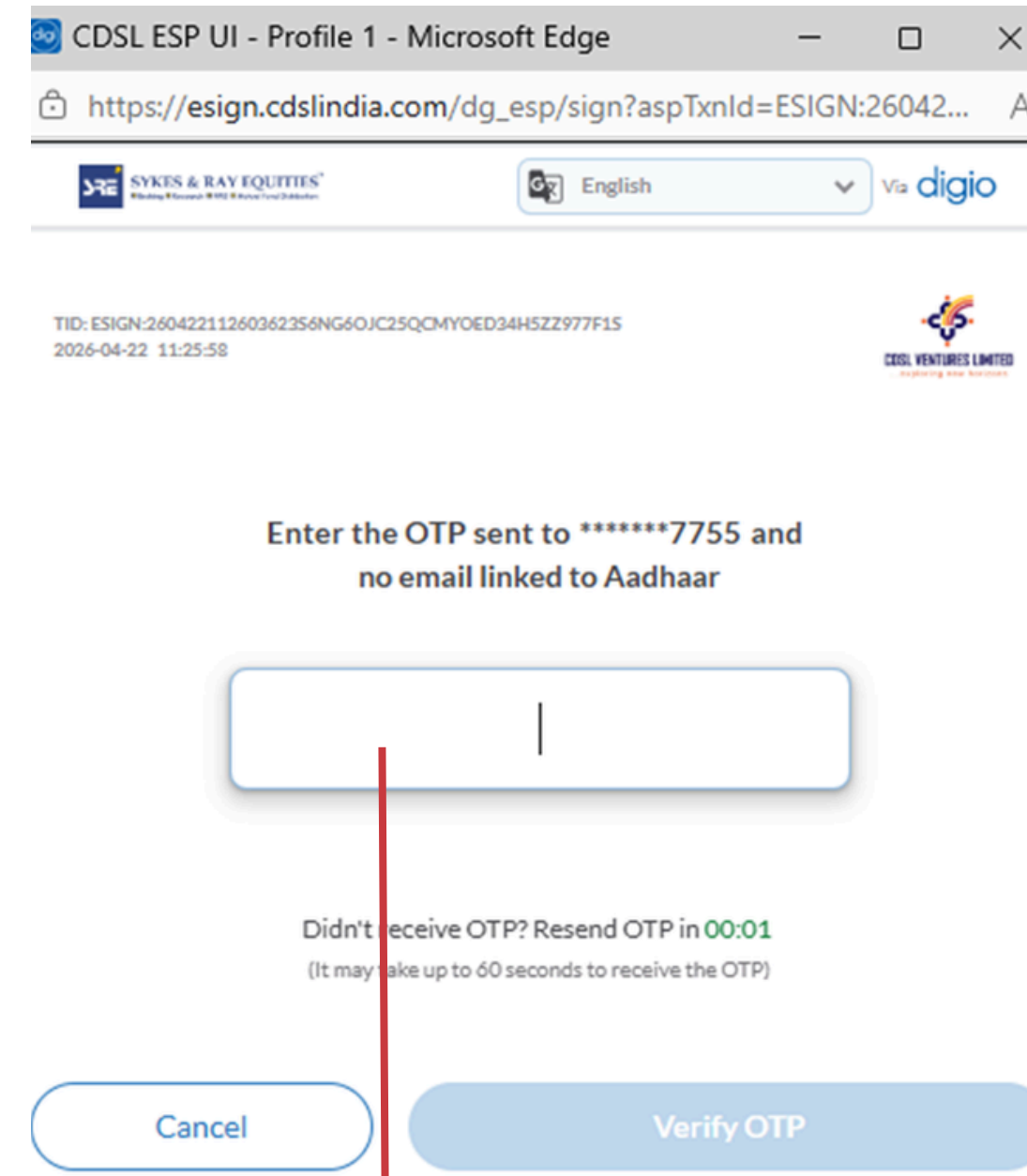
DOWNLOAD FILE

[EKYC Link](#)

[Video tutorial](#)



Enter Aadhar No.



Enter OTP



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Broking Research PMS Mutual Fund Distribution

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app.digio.in/#/gateway/esign

RAY EQUITIES™

Secured by digio

ARQPG158... | By continuing, I agree to do eKyc using Aadhaar to eSign with one of ESPs (NSDL e-Gov or CDSL) Digio is registered as ASP.

Click on Sign Now

Sign Now

EKYC Link

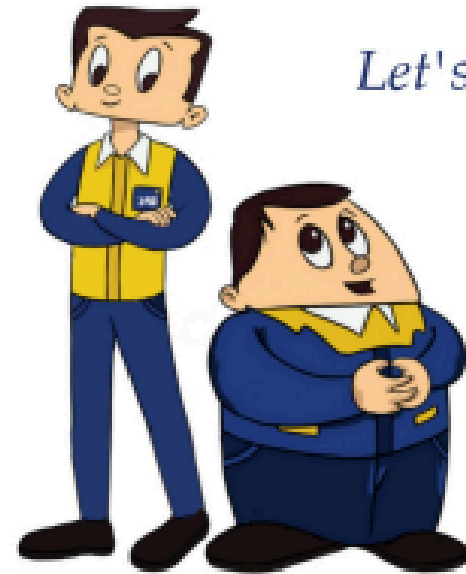
Video tutorial



Account Opening Form



Let's Grow Together!™



Form Serial No. : 120374	Unique Client Code: 70XXXX
Centre Code: 70XXXX	BO ID: 1201860000XXXX

[EKYC Link](#)

[Video tutorial](#)



DEMAT DEBIT AND PLEDGE INSTRUCTIONS (DDPI)

TO ALL TO WHOM THESE PRESENTS SHALL COME I/WE MR./MRS./M/S. XXXXXXXXXXXX@#&*
 (First Holder) _____ (Jointly with Second Holder) _____ (Third Holder)
 Having his/her/its residence/registered office/place of business at
XXXXXXXXXXXX@#&*
XXXXXXXXXXXX
 LE

Whereas I/we hold Beneficial Owner Account Number XXXXXXXXXXXX with Central Depository Services (India) Limited (CDSL), through Sykes & Ray Equities (I) Ltd a SEBI registered Depository Participant (hereinafter referred to as "DP") bearing registration no. IN-DP-102-2015 having its registered office address at Carra Bldg, 3rd Floor, Dalal Street, Fort, Mumbai – 400 001.

And Whereas I/ we am/ are desirous to buy and sell /deal in securities through Sykes & Ray Equities (I) Ltd, a SEBI Registered Stock Broker (hereinafter referred to as the "Stock Broker") bearing SEBI Registration No. IN200019833 having its registered office address at Carra Building, 3rd Floor, Dalal Street, Fort, Mumbai – 400 001.

I/We, the Account Holder/ Joint Account Holders do hereby give the following instructions to Sykes & Ray Equities (I) Ltd as follows:

S.No.	Purpose	Signature of Client *
1.	Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / settlement obligations arising out of trades done by me/us on the Stock Exchange through the same stock broker	Sole / First Holder Signed by: MR-GRANDHE-V-USAAYANARAYANA Reason: Account opening doc by SYKES & RAY EQUITIES (I) LTD eSigned using Aadhaar (Sign in) Date: 2024-04-10 11:53:26 IST
		Second Holder
		Third Holder
2.	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM)/Clearing Corporation for the purpose of meeting margin requirements in connection with my/our trades done by me/us on the Stock Exchanges.	Sole / First Holder Signed by: MR-GRANDHE-V-USAAYANARAYANA Reason: Account opening doc by SYKES & RAY EQUITIES (I) LTD eSigned using Aadhaar (Sign in) Date: 2024-04-10 11:53:26 IST
		Second Holder
		Third Holder
3.	Mutual Fund transactions being executed on Stock Exchange order entry platforms	Sole / First Holder Signed by: MR-GRANDHE-V-USAAYANARAYANA Reason: Account opening doc by SYKES & RAY EQUITIES (I) LTD eSigned using Aadhaar (Sign in) Date: 2024-04-10 11:53:26 IST
		Second Holder
		Third Holder
4.	Tendering shares in open offers through Stock Exchange platforms	Sole / First Holder Signed by: MR-GRANDHE-V-USAAYANARAYANA Reason: Account opening doc by SYKES & RAY EQUITIES (I) LTD eSigned using Aadhaar (Sign in) Date: 2024-04-10 11:53:26 IST
		Second Holder
		Third Holder

I/We hereby covenant, ratify and confirm that all acts done by this DDPI has been made done and executed in good faith and shall for all purpose be valid and binding on me/us. I/ we undertake to indemnify the Stock Broker/DP against all actions/proceedings/claims, costs, expenses and liabilities of every description arising from the exercise or the purported exercise in good faith, of any powers conferred by this DDPI.

I/We further agree and confirm that the powers and authorities conferred by this DDPI shall continue until it is revoked in writing by me/us and that the said revocation shall be effective from the date on which the revocation notice is received by any person authorised in this regard by the Stock Broker in its office at Carra Building, 3rd Floor, Dalal Street, Fort, Mumbai – 400 001. However, such revocation shall not be applicable for any outstanding settlement obligation of funds/ securities arising out of the trades carried prior to receiving request for revocation of this DDPI.



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▣ Broking ▣ Research ▣ PMS ▣ Mutual Fund Distribution



022 61937300



WWW.SRE.CO.IN



FORT, MUMBAI – 400001

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